

# ***In the United States Patent and Trademark Office***

<b>Serial No.:</b>	10/697,541	<b>Docket No.:</b>	17209-440CP1
<b>Filed:</b>	October 30, 2003	<b>Conf. No.:</b>	7586 <b>Art Unit:</b> 3694
<b>Applicant(s):</b>	Alan M. Buckwalter	<b>Examiner:</b>	Merchant, Shahid R.
<b>For:</b>	Method and Apparatus for Monitoring and Evaluating Limit Order Trading		

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Mailstop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## **AMENDMENT AND RESPONSE**

Sir:

Further to the Non-Final Office Action dated January 28, 2010 (hereinafter "Office Action"), and in view of the following amendments and/or remarks, Alan M. Buckwalter and/or Attorney(s) for applicant(s) (hereinafter "Applicant") request entry thereof and reconsideration of the above-referenced application.

**Amendments to the Claims** are reflected in the listing of claims begin on page 2.

**Remarks** begin on page 18 of this paper.

**35 USC § 112** remarks begin on page 19 of this paper.

**35 USC § 103** remarks begin on page 19 of this paper.